**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Ajay V. Patwardhan et al.

Application No.

10/664,808

Filed

FE2 11 2004

September 17, 2003

For

POWER CONVERTER ARCHITECTURE EMPLOYING AT

LEAST ONE CAPACITOR ACROSS A DC BUS

Art Unit

: 3462

Docket No.

: 130209.505

Date

: February 11, 2004

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

## Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the U.S. Patent and Trademark Office the references set forth on the attached form PTO-1449. Applicants note that since the application filing date is after June 30, 2003, copies of the cited U.S. patents and published patent applications are not required and accordingly have not been provided. Copies of all other cited references are enclosed. As to any reference cited, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Applicants believe this Information Disclosure Statement has been timely filed, however, the Director is authorized to charge any fee due by way of this Information Disclosure Statement to our Deposit Account No. 19-1090.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC

Frank Abramonte

Registration No. 38,066

Enclosures:

Postcard Form PTO-1449 Cited References (13)

701 Fifth Avenue, Suite 6300 Seattle, Washington 98104-7092

Phone: (206) 622-4900 Fax: (206) 682-6031

434891

Sheet <u>1</u> of <u>3</u>

FORM PTO-1449 (REV 7-89)	)			ARTMENT OF				APPLICATION NO. 10/664,808				
OTPE						APPLICANTS 10/004,808						
<b>,</b>	ନ୍ତି	ORMATION DISCLOSURE	DRMATION DISCLOSURE STATEMENT				Ajay V. Patwardhan et al.					
FEB 1-1 2	(Use several sheets if nec	essai	ry)		FILING DATE September 17, 2003		GROUP ART UNIT 3462					
U.S. PATENT I					DOCUMENTS							
•EXAMMEND INITIAL		DOCUMENT NUMBER	DATE			NAME	CLASS	SUBCLASS		DATE OPRIATE		
	AA	4,142,231	02	2/27/79	Wilson et	al.	363	141				
	AB	4,224,663	09	9/23/80	Maiese et	al.	363	144				
	AC	4,458,305	0′	7/03/84	Buckle et	al.	363	141				
	AD	4,661,897	04	4/28/87	Pitel	itel		17				
	AE	4,674,024	06/16/87		Paice et al.		363	71				
	AF	5,184,291	02/02/93		Crowe et al.		363	37				
	AG	5,172,310	12/15/92		Deam et a	Deam et al.		144				
	АН	5,230,632	0	7/27/93	Baumber	Baumberger et al.		66				
	ΑI	5,243,757	09	9/14/93	Grabbe et	Grabbe et al.		882		,		
	AJ	5,264,761	1	1/23/93	Johnson	****	315	291		-		
	AK	5,395,252	03/07/95		White		439	66				
				FOREI	GN PATE	NT DOCUMENTS						
	DOCUMENT NUMBER DATE					COUNTRY	TRANS YES	LATION NO				
	AL	0 427 143 A2		05/15/91	EP (+	EP (+ Abstract in English)						
	AM	0 578 108 A1			EP	EP						
	AN	195 19 538 A1			DE (+	DE (+ Abstract in English)						
	AO .	9-117126		05/02/97	JP (+ A	JP (+ Abstract in English)						
	AP			·								
		ОТНЕ	R	PRIOR A	RT (Including	Author, Title, Date, Pertinent Po	iges, Etc.)					
	AQ	Mohan et al.,	Po	ower Elec	tronics: C	onverters, Applications	s and De	esigns, John V	Wiley &	& Sons		
		,				rcuit Layout," p. 654.			•			
	AR		Persson, E., "Power Electronic Design and Layout Techniques for Improved Performance									
	-	and Reduced EMI," in Proceedings of Power Electronics in Transportation, IEEE,										
	Dearborn, Michigan, October 20-21, 1994, pp. 79-82.											
EXAMINER DATE CONSIDERED												
* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in												
conformance and not considered. Include copy of this form with next communication to applicant(s).												

Sheet <u>2</u> of <u>3</u>

FORM PTO-1449 U.S. DEPARTM (REV.7-80) PATENT AND				COMMERCE MARK OFFICE			APPLICATION NO. 10/664,808			
APPLICANTS Ajay V. Patwardhan et al.										
(See several sheets if necessary)				FILING DATE			GROUP ART UNIT			
FEB 1 1 2004					September 17, 2003 3462					
*EXAM***********************************										
INITIAL	india	DOCUMENT NUMBER	DATE		NAME	CLAS	S SUBCLASS	IF APPRO		
	ВА	5,422,440	06/06/95	Palma		174	133 B			
	вв	5,439,398	08/08/95	Testa et al	l	439	801			
	вс	5,445,526	08/29/95	Hoshino e	et al.	439	69			
	BD	5,459,356	10/17/95	Schulze e	t al.	257	773			
	BE	5,508,560	04/16/96	Koehler e	t al.	257	730			
	BF	5,537,074	07/16/96	Iversen et	al.	327 564				
*	BG	5,559,374	09/24/96	Ohta et al.		257	723			
	вн	5,635,751	06/03/97	Ikeda et al.		257	584			
	ві	5,653,598	08/05/97	Grabbe		439	66			
	вј	5,756,935 05/26/98		Balanovsky et al.		174	52.1			
	вк	5,847,951 12/08/98		Brown et al.		363	147			
	BL	5,938,451 08/17/99		Rathburn		439	66			
	вм	5,975,914	11/02/99	Uchida		439	66			
	BN	6,054,765	04/25/00	Eytcheson et al.		257	724			
	во	6,072,707	06/06/00	Hochgraf	ochgraf		71			
	BP	6,078,173	06/20/00	Kumar et	al.	324	158.1		- 4	
	BQ	6,078,501	06/20/00	Catrambo	ne et al.	361	704			
FOREIGN PATENT DOCUMENTS										
		DOCUMENT NUMBER	DATE	COUNTRY				TRANSI	ATION NO	
	BR									
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)										
	BS	. *								
-	вт									
EXAMINER DATE CONSIDERED										
* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).										

Sheet <u>3</u> of <u>3</u>

FORM PTO-1449 (REV.7-80)			DEPARTMENT OF ENT AND TRADEM		130209.505		PPLICATION NO. 0/664,808			
	i.	FORMATION DISCLOSURE	STATEMENT		APPLICANTS Ajay V. Patwardhan et al.					
FEB 1:1 20		(Use several sheets if nec			FILING DATE September 17, 2003	ROUP ART UNIT				
U.S. PATENT DOCUMENTS										
PEXAMPLE OF		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
	CA	6,166,937	12/26/00	Yamamur	a et al.	363	141			
	СВ	6,176,707	01/23/01	Neidich e	t al.	439	66			
	CC	6,212,087	04/03/01	Grant et a	1.	363	144	4		
	CD	6,292,371	09/18/01	Toner, Jr.		361	752			
	CE	6,434,008	08/13/02	Yamada e	t al.	361	728			
	CF	6,603,672	08/05/03	Deng et al		363	37			
	CG	2002/0034088	03/21/02	Parkhill e	t al.	363	144			
	СН	2002/0126465	09/12/02	Maly et al		361	818			
	CI	2002/0111050	08/15/02	Parkhill e	t al.	439	65			
	СЈ	2002/0118560	08/29/02	Ahmed et	al.	363	144			
	СК	2002/0167828	11/14/02	Parkhill e	t al.	363	144			
	CL	10/642,424		Ahmed et	al.			08/14/03		
	СМ	60/233,992		Parkhill e	t al.			09/20/00		
	CN	60/233,993		Ahmed				09/20/00		
	со	60/233,994	-	Maly et al				09/20/00		
	СР	60/233,995		Maly et al				09/20/00		
	cQ	60/233,996		Parkhill e	t al.			09/20/00		
	CR	60/471,387		Flett et al.	·			05/16/03		
FOREIGN PATENT DOCUMENTS										
	DOCUMENT NUMBER DATE		DATE	COUNTRY				TRANSLATION YES NO		
	CS									
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)										
	СТ									
EXAMINE	R				DATE CONSIDERED					
* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in										
conformance and not considered. Include copy of this form with next communication to applicant(s).  Date: 02/11/04										